

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
0189-2001

In Re Application Of: **Ramsingh et al.**

Serial No.  
09/879,572

Filing Date  
6/12/2001

Examiner

Group Art Unit  
1645

Title: **COPIES OF HIVIRUS B4 EXPRESSION VECTORS AND USES THEREOF**

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| <ul style="list-style-type: none"> <li>- Notice to File Missing Parts of Nonprovisional Application</li> <li>- Declaration for Patent Application in 3 pgs.</li> <li>- Substitute Drawings - 14 sheets</li> <li>- Response to Notice to File Missing Parts and Amendment to Incorporate Sequence Listing in 3 pgs.</li> </ul> | <ul style="list-style-type: none"> <li>- Recordation Form Cover Sheet</li> <li>- Assignment in 2 pgs.</li> <li>- Sequence Listing in 9 pgs.</li> <li>- Sequence Listing on diskette</li> </ul> |
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in the above identified application.

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Dated: **October 30, 2001**

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 Signature of Person Mailing Correspondence

**Martha Laffety**
**Typed or Printed Name of Person Mailing Correspondence**

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09809572 . 020202

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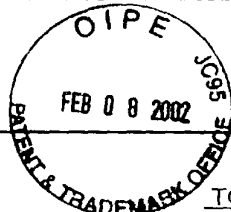
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Martha Laffety

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/879,572         | 06/12/2001          | Arlene I. Ramsingh    | 0189-2001              |

CONFIRMATION NO. 4742

FORMALITIES LETTER



\*OC000000006497235\*

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Albany, NY 12207

Date Mailed: 08/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/13/2002 HWRZ11 00000090 09879572

FILED UNDER 37 CFR 1.53(b)

01 FC:105

130.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice with required items and pay any fees required below to avoid abandonment. Extensions of time filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth \$130 for a non-small entity, must be submitted with the missing items identified in the
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) in

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(c) sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer

DISK TO STIC  
DATE: 2-28-02

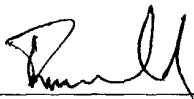
readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
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